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2                   **IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

3                   Application Serial No. ....09/675,693  
4                   Filing Date .....9/29/2000  
5                   Inventor.....Michail, et al.  
6                   Applicant ..... Microsoft Corporation  
7                   Group Art Unit .....2627  
8                   Examiner.....Shah, Sanjiv  
9                   Attorney's Docket No. ....MS1-657US  
10                  Title: Event Routing Model For An Extensible Editor

11

12                  **PETITION UNDER 37 C.F.R. §1.182**

13                  To:        Commissioner for Patents  
14                      PO Box 1450  
15                      Alexandria, VA 22313-1450

16                  From:     Michael K. Colby (Tel. 509-324-9256; Fax 509-323-8979)  
17                      **Customer # 22801**  
18                      421 W. Riverside, Suite 500  
19                      Spokane, WA 99201

20                  \* \* \* \* \*

21                  As the undersigned attorney, I hereby declare that:  
22  
23                  This application was filed on September 29, 2000, with Missing Parts. The  
24                  order of inventors was correctly listed on the cover page of this application, a copy of  
25                  which is attached hereto as Exhibit A. A Filing Receipt was subsequently issued,  
                        also citing the correct order of inventors, a copy of which is attached hereto as  
                        Exhibit B.

26                  A Response to Missing Parts was filed on October 16, 2001, which included a  
27                  fully-executed Declaration for Patent Application, a copy of which is attached hereto  
28                  as Exhibit C. The Declaration for Patent Application inadvertently listed inventors'

1 signature blocks in the wrong order. The correct order of inventors' signature blocks  
2 on the Declaration should have been 1) Ashraf A. Michail; 2) Mark A. Alcazar; and  
3) John G. Bedworth. Evidence of the correct order of inventors is evidenced by the  
4 title page of Exhibit A.

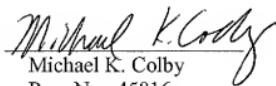
5 After the filing of the Declaration for Patent Application, all documents  
6 submitted by the Patent Office indicate the First Named Inventor as being Mark A.  
7 Alcazar. An Information Disclosure Statement dated January 7, 2004, which  
8 indicates "Michail" as the first-named inventor in the header of each page of the  
9 document, attached hereto as Exhibit D, is evidence of the fact that the records of this  
10 office reflect that Ashraf A. Michail is the first-named inventor.

11 In view of the above, Applicant requests that the Office change the Record to  
12 indicate the order of inventors on this application to be: 1) Ashraf A. Michail; 2)  
13 Mark A. Alcazar; and 3) John G. Bedworth.

14 All statements made herein of my own knowledge are true, and all  
15 statements made on information and belief are believed to be true. Further, these  
16 statements are made with the knowledge that willful false statements and the like  
17 so made are punishable by fine or imprisonment, or both, under Section 1001 of  
18 Title 18 of the United States Code and that such willful false statement may  
19 jeopardize the validity of the application or any patent issued therefrom.

20 Respectfully Submitted,

21 Date: 18 Jan 04

22 By:   
Michael K. Colby  
Reg. No. 45816

# Exhibit A

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICATION FOR LETTERS PATENT

**Event Routing Model For An Extensible Editor**

Inventors:

Ashraf Michail  
Mark Alcazar  
John Bedworth

ATTORNEY'S DOCKET NO. MS1-657US

# Exhibit B



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE RECD	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/675,693	09/29/2000	2152	0.00	MS1-657US	7	47	9

## CONFIRMATION NO. 1489

## FILING RECEIPT



\*OC000000008840998\*

James R Banowsky  
 Lee & Hayes PLLC  
 Suite 500  
 421 W Riverside Avenue  
 Spokane, WA 99201

Date Mailed: 10/03/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

Ashraf Michail, Residence Not Provided;  
 Mark Alcazar, Residence Not Provided;  
 John Bedworth, Residence Not Provided;

**Domestic Priority data as claimed by applicant****Foreign Applications****If Required, Foreign Filing License Granted** 10/01/2001**Projected Publication Date:** Not Applicable, filed prior to November 29,2000**Non-Publication Request:** No**Early Publication Request:** No**Title**

Event routing model for an extensible editor

**Preliminary Class**

709

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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

# **Exhibit C**

## 1 IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

2 Serial No. ....09/675,693  
3 Filing Date .....9/29/00  
Inventorship .....Alcazar et al.  
4 Applicant .....Microsoft Corporation  
Attorney's Docket No. ....MSI-657US  
Title: Event Routing Model For An Extensible Editor

5 **DECLARATION FOR PATENT APPLICATION**

6 As a below named inventor, I hereby declare that:

7 My residence, post office address and citizenship are as stated below next to  
8 my name.

9 I believe I am the original, first and sole inventor (if only one name is listed  
10 below) or an original, first and joint inventor (if plural names are listed below) of the  
11 subject matter which is claimed and for which a patent is sought on the invention  
12 entitled "Event Routing Model For An Extensible Editor," referenced above.

13 I have reviewed and understand the content of the above-identified  
14 specification, including the claims.

15 I acknowledge the duty to disclose information which is material to the  
16 examination of this application in accordance with Title 37, Code of Federal  
17 Regulations, § 1.56(a).

18 PRIOR FOREIGN APPLICATIONS: no applications for foreign patents or  
19 inventor's certificates have been filed prior to the date of execution of this  
20 declaration.

21 **Power of Attorney**

22 I appoint the following attorneys to prosecute this application and transact all  
23 future business in the Patent and Trademark Office connected with this application:  
24 Lewis C. Lee, Reg. No. 34,656; Daniel L. Hayes, Reg. No. 34,618; Allan T.  
25

1 Sponseller, Reg. 38,318; Steven R. Sponseller, Reg. No. 39,384; James R.  
2 Banowsky, Reg. No. 37,773; Lance R. Sadler, Reg. No. 38,605; Michael A. Proksch,  
3 Reg. No. 43,021; Thomas A. Jolly, Reg. No. 39,241; David A. Morasch, Reg. No.  
4 42,905; Kasey C. Christie, Reg. No. 40,559; Nathan R. Rieth, Reg. No. 44,302;  
5 Brian G. Hart, Reg. No. 44,421; Katie E. Sako, Reg. No. 32,628 and Daniel D.  
6 Crouse, Reg. No. 32,022.

7 Send correspondence to: LEE & HAYES, PLLC, 421 W. Riverside Avenue,  
8 Suite 500, Spokane, Washington, 99201. Direct telephone calls to: James R.  
9 Banowsky (509) 324-9256.



10 22801

PATENT TRADEMARK OFFICE

11 All statements made herein of my own knowledge are true and that all  
12 statements made on information and belief are believed to be true; and further that  
13 these statements were made with the knowledge that willful false statements and the  
14 like so made are punishable by fine or imprisonment, or both, under Section 1001 of  
15 Title 18 of the United States Code and that such willful false statement may  
16 jeopardize the validity of the application or any patent issued therefrom.

17 \* \* \* \* \* \* \* \* \*  
18

19 Full name of inventor:

Mark A. Alcazar

20 Inventor's Signature

Date: 11/03/00

21 Residence:

Seattle, WA

22 Citizenship:

Trinidad

23 Post Office Address:

615 E. Pike Street, Apt, 305  
Seattle, WA 98122

\*\*\*\*\*

1 Full name of inventor:

Ashraf A. Michail

2 Inventor's Signature

 Date: Nov. 3 / 2000

3 Residence:

Redmond, WA

4 Citizenship:

Canada

5 Post Office Address:

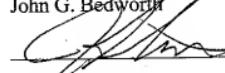
15606 NE 40th Street, Apt. Q-363  
Redmond, WA 98052

\*\*\*\*\*

9 Full name of inventor:

John G. Bedworth

10 Inventor's Signature

 Date: 11/3/2000

11 Residence:

Redmond, WA

12 Citizenship:

US

13 Post Office Address:

16733 NE 41st Street  
Redmond, WA 98052

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# **Exhibit D**

Please type a plus sign (+) inside this box →

PTG/SB/21 (08-00)

Approved for use through 10/31/2002, OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE  
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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

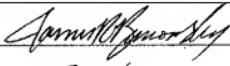
Total Number of Pages in This Submission

Application Number	09/675,693
Filing Date	Sep 29, 2000
First Named Inventor	Michail
Group Art Unit	
Examiner Name	
Total Number of Pages in This Submission	Attorney Docket Number MS1-657US

## ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s) Sheets
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	
	Remarks
	22801

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	James R. Banowsky, 37,773
Signature	
Date	1-7-04

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date: \_\_\_\_\_

Typed or printed name	Laurie S. Morgan
Signature	
Date	1/17/04

Patent Office Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS.

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# FEE TRANSMITTAL

## for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 0.00)

## Complete if Known

Application Number 09/675,693

Filing Date Sep 29, 2000

First Named Inventor Michael

Examiner Name

Art Unit

Attorney Docket No. MS1-657US

## METHOD OF PAYMENT (check all that apply)

 Check  Credit card  Money Order  Other  None
 Deposit Account:

Deposit Account Number 12-0769

Deposit Account Name Lee & Hayes, PLLC

The Director is authorized to: (check all that apply)

 Charge fee(s) indicated below  Credit any overpayments

 Charge any additional fee(s) or any underpayment of fee(s)

 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1051	130	2051	65 Surcharge - late filing fee or oath	
1052	50	2052	25 Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130 Non-English specification	
1812	2,520	1812	2,520 For filing a request for ex parte reexamination	
1804	920*	1804	920* Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840* Requesting publication of SIR after Examiner action	
1251	110	2251	55 Extension for reply within first month	
1252	420	2252	210 Extension for reply within second month	
1253	950	2253	475 Extension for reply within third month	
1254	1,480	2254	740 Extension for reply within fourth month	
	2,010	2255	1,005 Extension for reply within fifth month	
1401	330	2401	165 Notice of Appeal	
1402	330	2402	165 Filing a brief in support of an appeal	
1403	290	2403	145 Request for oral hearing	
1451	1,510	1451	1,510 Petition to institute a public use proceeding	
1452	110	2452	55 Petition to revive - unavoidable	
1453	1,330	2453	665 Petition to revive - unintentional	
1501	1,330	2501	665 Utility issue fee (or reissue)	
1502	480	2502	240 Design issue fee	
1503	640	2503	320 Plant issue fee	
1460	130	1460	130 Petitions to the Commissioner	
1807	50	1807	50 Processing fee under 37 CFR 1.17(q)	
1805	180	1806	180 Submission of Information Disclosure Stmt	
8021	40	8021	40 Recording each patent assignment per property (times number of properties)	
1809	770	2809	385 Filing a submission after final rejection (37 CFR 1.129(b))	
1810	770	2810	385 For each additional invention to be examined (37 CFR 1.129(b))	
1801	770	2801	385 Request for Continued Examination (RCE)	
1802	900	1802	900 Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 0.00)

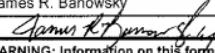
(Complete if applicable)

## SUBMITTED BY

Name (Print/Type) James R. Banowsky

Registration No. 37,773

Telephone (509) 324-9256

Signature 

Date 1-7-04

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

2 Serial No. .... 09/675,693  
Filing Date ..... Sep 29, 2000  
3 Inventorship ..... Michail  
Applicant ..... Microsoft Corporation  
4 Attorney's Docket No. .... MS1-657US  
Title: Event Routing Model For An Extensible Editor

## INFORMATION DISCLOSURE STATEMENT

*References -- See Attached Form PTO-1449*

## REMARKS

The citations listed, copies attached, are submitted in compliance with the duty of disclosure defined in 37 CFR §1.56. The Examiner is requested to make these citations of official record in this application.

Respectfully Submitted,

Date: 1-7-04

By: James R. Banowsky  
James R. Banowsky  
Reg. No. 37,773

Please type a plus sign (+) inside this  → +

Substitute for form 1449A/PTO				<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>				<b>Application Number</b>	09/675,693
				<b>Filing Date</b>	Sep 29, 2000
				<b>First Named Inventor</b>	Michail
				<b>Group Art Unit</b>	
				<b>Examiner Name</b>	
(use as many sheets as necessary)				<b>Attorney Docket Number</b>	MS1-657US
Sheet	1	of	2		

**U.S. PATENT DOCUMENTS**

## FOREIGN PATENT DOCUMENTS

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

*\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.*

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached *Kinds of U.S. Patent Documents*. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if no size is given. <sup>6</sup> Applicant is to place a check mark here if *Epojisai Japouko Translation* is attached.

**Burden Hour Statement:** This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20233.  
**DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:** Assistant Commissioner for Patents, Washington, DC 20231.

Please type a plus sign (+) inside this box → +

Substitute for form 1449B/PTO

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 2 of 2 Attorney Docket Number MS1-657US

*Complete if Known*

Application Number	09/675,693
Filing Date	Sep 29, 2000
First Named Inventor	Michail
Group Art Unit	
Examiner Name	
Attorney Docket Number	MS1-6571-US

## NON-PATENT LITERATURE DOCUMENTS

Examiner Signature	Date Considered	
-----------------------	--------------------	--

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

**Burden Hour Statement:** This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:** Assistant Commissioner for Patents, Washington, DC 20231.